

## Shanghai - Hong Kong Stock Connect

On 10th April 2014 the Securities and Futures Commission and the China Securities Regulatory Commission (CSRC) jointly announced approval to establish a pilot scheme to allow cross border exchange access between the Stock Exchange of Hong Kong (SEHK) and the Shanghai Stock Exchange (SSE). Under the new scheme, a bilateral linkage will be established between the SEHK and the SSE to enable investors on each side of the border to trade shares directly on the corresponding exchange on the other side. This program will allow a broad range of overseas investors to gain access to the Chinese market as it is open to all investors except PRC citizens.

### Note:

**Online Trading will be available soon.**

You can trade through us at CIMB –Investment Bank Berhad by calling your Dealer’s Representative or Authorised Officer of CIMB Bank Berhad now.

Pursuant to Clause 36 of CIMB's General Terms and Conditions, transactions involving securities traded on the Shanghai-Hong Kong Stock Connect shall be subjected to the Supplemental Terms and Conditions (Shanghai-Hong Kong Stock Connect)

[Shanghai-Hong Kong Stock Connect Terms and Conditions](#)

### Eligible Securities

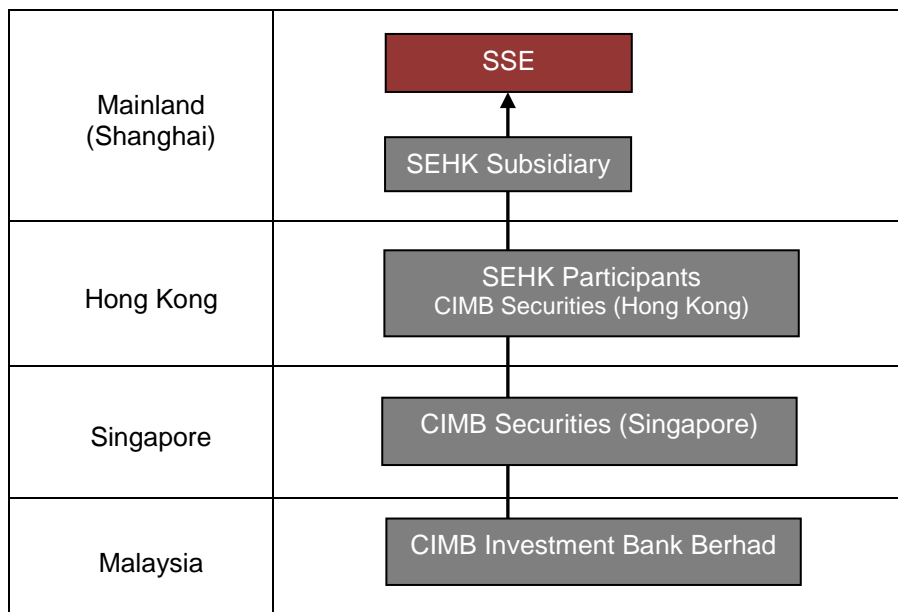
Under the initial phase, only A-Shares will be included for trading. B Shares, ETFs, Bonds and other listed securities will not be included. Also, at this stage, the program will be for secondary trading only; i.e., it will not apply to IPOs.

Initial phrase the eligible securities are constituent stocks of

1. Shanghai Stock Exchange (SSE) 180 Index,
2. SSE 380 Index. All SSE listed A-Shares plus any other A-Shares which have corresponding H shares listed on SEHK except A-Shares shares not traded in RMB and shares included in the "risk alert board".

A full list of all the SSE-listed stocks which are eligible for trading through the Stock Connect program is available on the SEHK website (<http://www.hkex.com.hk/chinaconnect>).

### Key Market Characteristics



**Northbound**

## Availability

Hong Kong	Mainland (Shanghai)	Malaysia	Shanghai-HK Stock Connect via CIMB Investment Bank Berhad
Business Day	Business Day	Business Day	Yes
Business Day	Business Day	Holiday	No
Holiday	Business Day	Business Day	No
Business Day	Holiday	Business Day	No

The Shanghai-Hong Kong Stock Connect link will be open on days when both the SSE and the SEHK are open and when banks are open in both markets on T+1 to enable money settlement. For example, 3rd April 2015 is a public holiday in Hong Kong but not on the mainland: the Stock Connect link would be down both on that day and on the day before, since trades executed on 2nd April would otherwise be unable to settle on day T+1 due to the unavailability of Hong Kong banks on settlement day. The SSE, meanwhile, will remain open as normal on both 2nd and 3rd April.

In addition, the link will be shut down in cases of severe weather (typhoon 8 or black rainstorm signal) in Hong Kong. In both cases, the Stock Connect link will be closed while the SSE continues to trade. Investors should be aware of this risk as they consider trading via Stock Connect.

## Market Hours

Stock Connect Trading Session	Trading Hours
Morning	9:30am-11:30am
Afternoon	1:00pm-3:00pm

**Note:** HK and Overseas investors will follow the SSE's trading hours when trading SSE Securities via Shanghai-Hong Kong Stock Connect.

## Trading Rules

	Shanghai-Hong Kong Stock Connect (Northbound)
Order Types	Limit orders <u>only</u>
Board Lot	100 shares(for buy order <u>only</u> )
Odd Lot	Sell orders <u>only</u>
Short Selling	<b>Not</b> allowed
Intra-Day and Contra Trade	<b>Not</b> allowed
Trading on Shanghai, HK and Malaysia holidays	<b>Not</b> allowed
Order Modification	<b>Not</b> available
Margin and SBL	<b>Not</b> available
Price Limit	±10% on previous closing price
Trading Currency	RMB
Settlement Currency	MYR only (RMB not allowed)
Block Trade	<b>Not</b> allowed
Maximum order size	1 million shares

## Settlement Cycle (T+1)

	Shanghai-Hong Kong Stock Connect (Northbound)
Purchased Shares	Payment due date T + 1.

**Note:** Client can only sell the paid shares on T + 2.