

Date: 29 May 2015

Dear Valued Clients,

RE: SAFEGUARDING OF CLIENTS' MONIES

Reference is made to the above matter.

We wish to advise clients on the following measures taken to ensure that your monies with CIMB Futures Sdn Bhd ("CIMBF") are properly accounted for and safeguarded :-

1. Cheque deposit

Cheque payments must be made in the name of "**CIMB Futures Sdn Bhd for <Name of Client>**".

All payments by cheque deposit must be supported by (i) a photocopy or image of the cheque and (ii) direct bank-in form to facilitate verification of the identity of the cheque issuer.

If a photocopy or image of the cheque is not submitted, any charges incurred to obtain a copy of the cheque image from the bank shall be borne by client.

2. Interbank fund transfer

For online interbank fund transfer, (i) trading account number and (ii) trading account holder's name or NRIC/Passport No. must be stated as recipient reference.

This is to ensure that funds transferred are applied to the intended and rightful transaction.

Kindly also be reminded :-

- to ensure receipt of proper documentation including contract notes / statements, receipts and monthly statements for all transactions undertaken;
- to verify with CIMBF directly if there is any doubt on the authenticity of documents received from CIMBF's licensed Futures Broker's Representative or anyone holding out as representing CIMBF;
- not to pay cash to or bank in monies directly into the bank account of CIMBF's licensed Futures Broker's Representative or anyone holding out as representing CIMBF.

Please contact your respective licensed Futures Broker's Representative of CIMBF should you have any enquiries.

Alternatively, you may contact i*Trade@CIMB Call Centre at 03 2261 0888 for further clarification. Thank you.

Yours faithfully,

For CIMB Futures Sdn Bhd

** This notification is computer generated and is deemed to have been signed*