

To: CIMB Investment Bank Berhad ("CIMB IB")

Dear Sir / Madam:

BIG REWARDS CAMPAIGN ("Campaign")

Name (as per NRIC/Passport): _____

NRIC/ Passport No.: _____

Trading Account No.: _____

Mobile Tel No.: _____ Home Tel No.: _____

Email Address: _____

Correspondence Address: _____

☐

Yes, I would like to participate in the BIG Rewards Campaign. I have read, understood and agreed to comply with the Terms & Conditions of the Campaign.

** Go green, all participants must sign up to receive e-contract note and EDI / Auto Trust as mode of payment to receive proceeds from Share Trading Account.*

I make this solemn declaration conscientiously believing the same to be true.

Yours faithfully,

Witnessed by,

Signature of Participant

Date:

Signature of Dealer's Representative / Authorised
Officer of CIMB Bank Berhad**

Name:

Date:

For Office Use Only
Checked by: _____
Date: _____

** Applicable to CIMB Bank Berhad Branch Broking Only

CIMB Investment Bank Berhad (18417-M)

(A Participating Organisation of Bursa Malaysia Securities Berhad)

17th Floor Menara CIMB, Jalan Stesen Sentral 2, Kuala Lumpur Sentral, 50470 Kuala Lumpur Malaysia

Telephone (603) 2261 8888 Facsimile (603) 2261 8889 www.cimb.com

Terms and Conditions of CIMB Investment Bank Berhad's BIG Rewards Campaign ("Terms & Conditions")**Campaign Name**

BIG Rewards Campaign

Tagline

There's something for everyone. Trade with Retail Equities, CIMB Investment Bank Berhad ("CIMB IB") and win attractive prizes. Terms & Conditions apply.

Campaign period

1. The BIG Rewards Campaign ("**Campaign**") is organised by CIMB IB and will run from the following period:-

- a) 1 April 2015 to 30 September 2015 (nationwide except Penang, Kedah and Perlis)
- b) 1 May 2015 to 31 October 2015 (only applicable to Penang, Kedah and Perlis)

both dates inclusive ("**Campaign Period**").

Eligibility

2. Subject to Clause 3 below, the Campaign is open to new and existing clients of CIMB IB and/or CIMB Bank Berhad ("CIMB Bank") ("**Eligible Participants**") who:

- (a) Trade online and/or offline in the securities listed on Bursa Malaysia Securities Berhad ("**BMSB**"), Bursa Malaysia Derivatives Berhad ("**BMDB**") and /or foreign stock exchanges recognised by BMSB; AND
- (b) Have signed up to receive contract statements via email ("E-Contract Note"); AND
- (c) Requested for payment of sales proceeds via Auto Trust (i.e. sales proceeds are credited directly into client's trust account maintained with CIMB IB or CIMB Bank, as the case may be) or EDI (i.e. sales proceeds are credited directly into client's personal current or savings account with CIMB Bank Berhad / CIMB Islamic Bank Berhad ("**CASA Account**")); AND
- (d) Eligible Participants have to fill up the BIG Rewards Campaign Form to participate in this campaign.

3. The following persons are NOT eligible to participate in the Campaign:

- a) Institutional, Corporate and Private Banking clients;
- b) Existing and new accounts (margin/non-margin) opened for IPO and/or ESOS subscriptions;
- c) Individuals below the age of 18 years as of 1 April 2015; and
- d) Others as may be determined by CIMB IB.

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The Campaign

4. Should the Eligible Participants have more than one (1) trading account maintained with CIMB IB (e.g. ST Direct, ST Nominees, Share Margin Financing, Futures Trading, etc), then all the accumulated gross brokerage (as the case may be) generated through such accounts with CIMB IB will be consolidated and taken into account as one (1) trading account for eligibility for the Reward (defined below).
5. Eligible Participants must agree to the Terms and Conditions in order to participate in this Campaign. A hardcopy of the Terms and Conditions will be given to the Eligible Participants for execution.
6. The participation will take effect on the date upon which the terms and conditions are accepted by the Eligible Participants and the gross brokerage generated will only be counted during the Campaign Period. Backdating of the BIG Rewards Campaign form is strictly not allowed.
7. Regardless of the number of campaign(s) (including this Campaign) launched by CIMB IB, Retail Deposit-CIMB Bank and/or Retail Lending-CIMB Bank participated by the Eligible Participants during the Campaign Period, he/she may choose only (1) reward for which he/she is qualified.
8. Regardless of the number of campaign(s) (including this Campaign) launched by CIMB IB, Retail Deposit-CIMB Bank and/or Retail Lending-CIMB Bank participated by the Eligible Participants from 1st April 2015 to 31st May 2015, he/she may choose only (1) reward for which he/she is qualified.
9. Eligible Participants who have met the Qualifying Criteria in Clause 11 below ("**Qualified Participants**") within the Campaign Period will be rewarded with attractive prizes ("Reward") under the different tiers as set out in Clause 11 below.
10. Qualified Participants are allowed to claim more than one (1) Reward within the same tier by generating accumulated gross brokerage under the Qualifying Criteria, so long as the Reward is within the range of such accumulated gross brokerage and the monetary value of the Reward is capped at the value of the Reward at the highest tier achieved. Qualified Participants are not allowed to mix and match the Reward among the different sets of tiers.

For example:

Mr. A achieved an accumulated gross brokerage of RM 100,000.00 and is entitled to a Travel Voucher worth RM 4,500.00. Mr. A can exchange the Travel Voucher to Seng Heng Vouchers to a limit of RM 4,500.00.

For avoidance of doubt, Qualified Participants who claim more than one (1) Reward within the same tier set out in Clause 11 below shall be considered and constituted as one (1) Reward under this Campaign.

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11. Qualified Participants who have accumulated gross brokerage with CIMB IB as set out below shall meet the Qualifying Criteria:-

Accumulated Gross Brokerage (RM)	Reward
1,500 – 2,999.99	4 Movie Tickets worth RM80.00
3,000 – 4,999.99	Shopping Voucher worth RM300.00
5,000 – 7,999.99	Seng Heng Voucher worth RM500.00
8,000 – 9,999.99	Travel Voucher worth RM1,000.00
10,000 – 19,999.99	Travel Voucher worth RM1,500.00
20,000 – 49,999.99	Travel Voucher worth RM2,000.00
50,000 – 99,999.99	Travel Voucher worth RM2,500.00
100,000 – 149,999.99	Travel Voucher worth RM4,500.00
150,000 – 199,999.99	1 unit Rolex Standard Steel Datejust (Ladies, 31mm) worth RM15,000.00
200,000 and above	1 unit Rolex Standard Steel Datejust (Gents, 36mm) worth RM20,000.00

12. All Reward and value stated here are valid at the time of printing. Images of the Reward shown in any marketing and advertisement collateral are for visual purpose only and colours/models/specs may vary from actual Reward received.
13. Qualified Participants shall be responsible to pay any taxes (including but not limited to service tax or goods and services tax ("GST")), incidental cost and/or any other charges relating to any of the Reward unless stated otherwise. CIMB IB shall not be held liable for any taxes (including but not limited to service tax or GST), incidental cost, charges and/or damage caused by any of the Reward and/or non-fulfillment by any of its agents/distributors.
14. The Reward is not transferable or exchangeable for cash or credit or in kind whether in part or in full.
15. The Reward may carry their own terms and conditions and these terms and conditions shall be applicable in addition to the terms and conditions contained within.

Notification

16. All Qualified Participants of BIG Rewards Campaign will be notified by telephone and/ or mail.
17. The Qualified Participants hereby expressly consent to their names and/or last 4 digits of their NRICs being posted on CIMB IB's website via www.itradecimb.com.my.
18. CIMB IB will notify all Qualified Participants based on the information supplied by the Qualified Participants and maintained in CIMB IB's and/or CIMB Bank's records. Pursuant to the requirements of the Personal Data Protection Act 2010, CIMB IB is required to obtain the Eligible Participant's consent for the processing of the Eligible Participant's personal data. Therefore, the Eligible Participant hereby consents to CIMB IB's processing of the Eligible Participant's personal data which the Eligible Participant may provide to CIMB IB now and from time to time in connection with their applications or participation in the Campaign. The Eligible Participant hereby confirms that he/she has read, understood and agrees to be bound by the CIMB Group Privacy Notice (which is available at www.itradecimb.com.my) ("Privacy Notice") and the clauses herein, as may relate to the processing of his or her personal information. For the avoidance of doubt, the Eligible Participant agrees that the said Privacy Notice shall be deemed to be incorporated by reference into this Agreement.

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19. The Qualified Participants agree and authorize CIMB IB and/or CIMB Bank to forward Qualified Participants' details (including but not limited to name, NRIC number, telephone number, address) to the distributor/ agent who would then contact the Qualified Participants regarding the delivery of their Reward. The Qualified Participants shall be responsible to make the necessary arrangements with CIMB IB and / or its distributor/agent for the delivery and/or collection of their Reward. All taxes (including but not limited to service tax or GST), charges and incidental costs in this regards shall be borne by the Qualified Participants.
20. Subject to Clauses 7 and 8 above, all Reward to be given away after the Campaign ended from the following period:-
- a) 1st November 2015 onwards (nationwide, except Penang, Kedah, & Perlis)
 - b) 1st December 2015 onwards (only applicable to Penang, Kedah and Perlis)

The Qualified Participants of the BIG Rewards Campaign hereby agree that in the event that they are not contactable, CIMB IB reserves the right to forfeit the Reward.

General

21. By signing the terms and conditions of the Campaign, all Eligible Participants are deemed to have read, understood and agreed to be bound by these Terms & Conditions and agreed that any decisions of CIMB IB in relation to every aspect of the Campaign, including the Reward to be given away and the determination of Qualified Participants, is final, binding and conclusive. No correspondence, queries, appeals or protests will be entertained.
22. These Terms and Conditions shall prevail over any provisions or representations contained in any other promotional materials advertising this Campaign in the event of any conflict in terms.
23. CIMB IB reserves the right, without prior notice or assigning any reason whatsoever, to substitute any of the Reward with other item(s) of the similar value. The Reward under the Campaign does not include any accessories of items shown in any advertisements and/or promotional materials which are for photography purposes only.
24. To the fullest extent permitted by law, CIMB IB expressly exclude and disclaim any representations, warranties, or endorsements, express or implied, written or oral, including but not limited to any warranty of quality, merchantability or fitness for a particular purpose in respect of the Reward. Further, no responsibilities are accepted for any additional expenses, omissions, delays, re-routing, or acts of any government or authority.
25. Although CIMB IB will endeavour to deliver the Reward promptly, this is subject to availability and accordingly, CIMB IB shall not be responsible for any delay nor will be there any compensation payable for any such delays.
26. In no event will CIMB IB be liable for any loss or damages (including without limitations, loss of income, profits or goodwill, direct or indirect, incidental consequential, exemplary, punitive or special damages or any party including third parties) howsoever arising whether in contract, tort, negligence or otherwise, in connection with the Campaign, even if CIMB IB has been advised of the possibility of such damages in advance, and all such damages are expressly excluded.
27. It is the responsibility of the Qualified Participants to obtain the necessary and required travel documents including Visa and additional tickets to travel and/or transit from the city of origin. No compensation will be given should the Qualified Participants fail to obtain such documents or miss their flight due to a late connecting flight regardless of any circumstances.

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28. CIMB IB reserves the right to cancel, terminate or suspend the Campaign with or without any prior notice. For avoidance of doubt, cancellation, termination or suspension of the Campaign shall not entitle any of the Eligible Participants to any claim for compensation against CIMB IB or any losses or damages suffered or incurred by the Eligible Participants as a direct or indirect result of the act of cancellation, termination or suspension.
29. CIMB IB reserves the right, at its absolute discretion, to vary (whether by addition, deletion, modification, amendment or otherwise howsoever) (the "**Amendment**") any of the terms and conditions herein at any time. The Amendment shall be effected at CIMB IB's absolute discretion through any one of the following means of communication, namely, by ordinary mail to the Eligible Participants' last known address or via CIMB IB's website and the Amendment shall be binding on the Eligible Participants as from the date of notification of the Amendment or from such other date as may be specified by CIMB IB.
30. These Terms & Conditions, as may be varied or amended from time to time pursuant to Clause 29, shall prevail over any provisions or representations contained in any other promotional materials advertising the Campaign.
31. None of the campaigns is nor shall any of them be construed as an offer, recommendation or solicitation to buy or sell any securities. All Eligible Participants are advised to make their own independent evaluation and to consult their professional advisers before undertaking any transaction.
32. All other terms and conditions applicable to general trading under i*Trade@CIMB and/or the respective other account(s)' terms and conditions shall continue to apply. Nothing herein shall affect CIMB IB's general terms and conditions and/or the other respective account(s)' terms and conditions to which the Eligible Participants have agreed to in respect of their trading accounts held at CIMB IB.
33. All applicable local laws and regulations apply to this Campaign.

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