

To: **CIMB Investment Bank Berhad ("CIMB")**

Dear Sir / Madam:

SHOPPING VOUCHER GIVE AWAY CAMPAIGN ("Campaign")

Name (as per NRIC/Passport): _____

NRIC/Passport No.: _____

Client Code: _____

Mobile Tel No. _____

Home Tel No. _____

Email Address: _____

Correspondence Address: _____

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Yes, I would like to participate in the Shopping Voucher Give Away Campaign. I have read, understood and agreed to comply with the Terms & Conditions of the Campaign.

I made this solemn declaration conscientiously believing the same to be true.

Yours faithfully,

Witnessed by,

Signature of Applicant

Signature of Dealer's Representative /
Authorised Officer of CIMB Group

Date:

Name:

Date:

CIMB Investment Bank Berhad (18417-M)

(A Participating Organisation of Bursa Malaysia Securities Berhad)
17th Floor Menara CIMB, Jalan Stesen Sentral 2, Kuala Lumpur Sentral, 50470 Kuala Lumpur Malaysia
Telephone (603) 2261 8888 Facsimile (603) 2261 8889 www.cimb.com

Terms & Conditions For Shopping Voucher Give Away Campaign ("Campaign")**Tagline**

Open a trading account with CIMB Investment Bank Berhad ("CIMB IB") and/or open a margin account, Clicks Trader Plus account and/or Clicks Trader account with CIMB Bank Berhad ("CIMB Bank") (collectively CIMB IB and CIMB Bank shall be referred to "CIMB") to be rewarded a shopping voucher worth up to RM 800.00 ("The Campaign") from 12th October 2015 until 31st January 2016, both dates inclusive ("Campaign Period"). Terms & Conditions apply.

Eligibility

1. The Campaign is open to retail stockbroking clients who apply for a new trading account and/or a margin account (including but not limited to Securitised Trading Account, Direct Trading Account, Clicks Trader Account, Clicks Trader Plus Account and Share Margin Financing Account) (collectively referred to as "Trading Account") with CIMB during the Campaign Period.
2. Existing clients of CIMB who already have an existing Trading Account with CIMB are not eligible to participate in the Campaign.
3. All new clients are eligible to participate in the Campaign upon their respective new Trading Account(s) has/have been approved for online and offline trading on Bursa Securities and/or on foreign stock exchanges that are recognised by Bursa Malaysia. Such clients whose applications have been approved shall be referred to as the Eligible Clients.
4. Existing clients of CIMB who close and reopen their respective Trading Account(s) during the Campaign Period are not eligible to participate in the Campaign.
5. The following categories of clients and type of trading accounts shall not be eligible to participate in the Campaign:
 - a) CIMB's Corporate clients;
 - b) CIMB's Institutional clients;
 - c) CIMB's Private Banking clients;
 - d) CIMB's IPO Financing Account clients;
 - e) CIMB's ESOS Financing Account clients;
 - f) Islamic Broking clients;
 - g) CIMB's External Share Margin Financing Account clients; and/or
 - h) others as may be determined by CIMB.
- 6) Eligible Clients shall submit the duly filled up Campaign Participation Form and other documents, if any, to participate in this Campaign.

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Accumulated Gross Brokerage Fee and Reward

- 7) During the Campaign Period, an Eligible Client who has an Accumulated Gross Brokerage Fee as set out in the following table shall qualify for one (1) shopping voucher ("Reward") which value is determined by the Accumulated Gross Brokerage Fee as set out in the following table:

Accumulated Gross Brokerage Fee (RM)	Reward
500.00 – 1,499.99	Shopping voucher worth RM 100.00
1,500.00 – 1,999.99	Shopping voucher worth RM 300.00
2,000.00 – 3,499.99	Shopping voucher worth RM 500.00
3,500.00 and above	Shopping voucher worth RM 800.00

- 8) Should the Eligible Clients have more than one (1) Trading Account maintained with CIMB (e.g. ST Direct, ST Nominees, etc), then all the brokerage fees generated from such accounts with CIMB will be consolidated and taken as one (1) trading account with CIMB for value of the Reward.
- 9) For the purposes of this Campaign, "Accumulated Gross Brokerage Fee" shall mean the total gross brokerage generated in the Trading Account from online and offline trading on Bursa Securities and/or on foreign stock exchanges that are recognised by Bursa Malaysia during the Campaign Period.
- 10) Eligible Client who has fulfilled Clause 7 to 9 above shall be referred to as "Entitled Client".
- 11) For avoidance of doubt, an Entitled Client will be entitled to a maximum of one (1) Reward, namely one (1) shopping voucher.
- 12) All prices and values stated here are correct at the time of printing. Reward images shown in any marketing and advertisement collateral are for visual purposes only and colours may vary from actual Reward received. The Entitled Clients shall be responsible for any costs whatsoever incurred in connection with the Reward, including but not limited to transportation from the merchant's office location, all upgrades and alterations, any other third party costs (commission, ownership transfer, tax, etc).
- 13) The Entitled Clients shall be responsible to pay any taxes (including but not limited to service tax or goods and services tax ("GST"), incidental cost and/or any other charges relating to any of the Reward unless stated otherwise. CIMB shall not be held liable for any taxes (including but not limited to service tax or goods and services tax ("GST"), incidental cost, charges and/or damage caused by any of the Reward and/or non-fulfilment by any of its agents/distributors.
- 14) The Reward is not transferable.
- 15) The Reward is not exchangeable for cash or credit or kind whether in part or in full.
- 16) The Reward may carry its own terms and conditions and these terms and conditions shall be applicable in addition to the Terms and Conditions contained herein.
- 17) CIMB bears no responsibility if the Reward is overdue or cancelled by the agent/distributor.

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- 18) CIMB reserves the right, without prior notice or assigning any reason whatsoever, to substitute the Reward with other item(s) of the similar value. The Reward under the Campaign does not include any accessories of items shown in any advertisements and/or promotional materials which are for photography purposes only.
- 19) To the fullest extent permitted by law, CIMB expressly exclude and disclaim any representations, warranties, or endorsements, express or implied, written or oral, including but not limited to any warranty of quality, merchantability or fitness for a particular purpose in respect of the Reward. Further, no responsibilities are accepted for any additional expenses, omissions, delays, re-routing, or acts of any government or authority.
- 20) Although CIMB will endeavour to deliver the Reward promptly, this is subject to availability and accordingly, CIMB shall not be responsible for any delay nor will there be any compensation payable for any such delays.

Notification

- 21) All Entitled Clients will be notified by telephone, email and/or mail.
- 22) CIMB has the sole discretion to fix the appointed date and time to make the telephone calls to the Entitled Clients.
- 23) CIMB will notify all Entitled Clients based on the information supplied by the Entitled Clients and maintained in CIMB's records.
- 24) The Entitled Clients hereby agree that in the event that they are not contactable, CIMB reserves the right to forfeit the Reward without any prior notice.
- 25) Pursuant to the requirements of the Personal Data Protection Act 2010, CIMB is required to obtain the Eligible Client's consent for the processing of the Eligible Client's personal data. Therefore, the Eligible Client hereby consents to CIMB's processing of the Eligible Client's personal data which the Eligible Client may provide to CIMB now and from time to time in connection with their applications or participation in the Campaign. The Eligible Client hereby confirms that the Eligible Client has read, understood and agrees to be bound by the CIMB Group Privacy Notice (which is available at www.itradecimb.com.my) ("Privacy Notice") and the clauses herein, as may relate to the processing of his or her personal information. For the avoidance of doubt, the Eligible Client agrees that the said Privacy Notice shall be deemed to be incorporated by reference into these Terms and Conditions.
- 26) The Entitled Clients agree and authorize CIMB to disclose the Entitled Clients' details (including but not limited to name, NRIC number, telephone number, address) to the distributor/agent who would then contact the Entitled Clients regarding the delivery of their Reward. The Entitled Clients shall be responsible to make the necessary arrangements with CIMB and/or its agent/distributor for the delivery and/or collection of the Reward. All taxes (including but not limited to service tax or GST), charges and incidental costs in this regards shall be borne by the Entitled Clients.

General

- 27) By signing the terms and conditions of the Campaign ("Terms and Conditions"), all Eligible Clients are deemed to have read, understood and agreed to be bound by these Terms & Conditions and agreed that any decisions of CIMB in relation to every aspect of the Campaign, including the Reward to be given away and the determination of the Eligible and Entitled Clients, is final, binding and conclusive. No correspondence, queries, appeals or protests will be entertained.

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- 28) A hardcopy of the Terms and Conditions will be given to all Eligible Clients for execution.
- 29) Eligible Clients participation in this Campaign will only take effect on the date the Eligible Clients sign and submit these Terms and Conditions.
- 30) In no event will CIMB be liable for any loss or damages (including without limitations, loss of income, profits or goodwill, direct or indirect, incidental consequential, exemplary, punitive or special damages or any party including third parties) howsoever arising whether in contract, tort, negligence or otherwise, in connection with the Campaign, even if CIMB has been advised of the possibility of such damages in advance, and all such damages are expressly excluded.
- 31) CIMB reserves the right to cancel, terminate or suspend the Campaign with or without any prior notice. For avoidance of doubt, cancellation, termination or suspension of the Campaign shall not entitle any of the Eligible Clients to any claim or compensation against CIMB or any losses or damages suffered or incurred by the Eligible Clients as a direct or indirect result of the act of cancellation, termination or suspension.
- 32) CIMB reserves the rights at its absolute discretion to vary (whether by addition, deletion, modification, amendment or otherwise howsoever) (the "Amendment") any of the terms and conditions herein at any time. The Amendment shall be effected at CIMB's absolute discretion through any one of the following means of communication, namely, by ordinary mail to the Eligible Clients' last known address or by posting a notice regarding the Amendment at each of CIMB's branches or by effecting an advertisement regarding the Amendment in any one newspaper of CIMB's choice, or via CIMB's website and the Amendment shall be deemed as binding on the Eligible Clients as from the date of notification of the Amendment or from such other date as may be specified by CIMB.
- 33) These Terms & Conditions, as may be varied or amended from time to time pursuant to Clause 32, shall prevail over any provisions or representations contained in any other promotional materials advertising the Campaign.
- 34) None of the campaigns is nor shall any of them be construed as an offer, recommendation or solicitation to buy or sell any securities. All clients are advised to make their own independent evaluation and to consult their professional advisers before undertaking any transaction.
- 35) All Eligible Clients hereby expressly consent to CIMB at its discretion publishing, displaying, identifying their names, photographs, addresses, NRIC numbers and other information for advertising and publicity purposes.
- 36) The Eligible Clients hereby agree that they will at CIMB's absolute discretion be required to attend and participate in reward-giving ceremony(ies) and/or other related events organised by CIMB (if any) and if any of the Eligible Clients fail to attend such ceremony(ies) and/or events, CIMB reserves the right to forfeit the Reward.
- 37) All other terms and conditions applicable to general trading under i*Trade@CIMB and/or the respective other account(s)' terms and conditions shall continue to apply. Nothing herein shall affect CIMB's general terms and conditions and/or the other respective account(s)' terms and conditions to which the Eligible Clients have agreed to in respect of their Trading Accounts held at CIMB.
- 38) In participating in this Campaign, Eligible Clients shall comply with all applicable laws and regulations at all times.