

To: CIMB Investment Bank Berhad ("CIMB")

CLIENT REFERRAL CAMPAIGN

1. Referrer Details

Name (as per NRIC/Passport): _____

NRIC/Passport No.: _____

Trading Account No.: _____ CIMB Group Staff: ☐ Yes ☐ No

Mobile No.: _____ Email Address: _____

2. Referee Details

No.	Name (as per NRIC/Passport)	Mobile No.	Email Address
1			
2			
3			
4			
5			
6			

☐ Yes, I would like to participate in the Client Referral Campaign. I have read, understood and agreed to comply with the Terms & Conditions of the Campaign.

I make this solemn declaration conscientiously believing the same to be true.

Witnessed by,

Signature of Referrer

Signature of Dealer's Representative / Authorised
Officer of CIMB Bank Berhad

Date:

Name:

Date:

For Office Use Only
Signature verified by: _____
Date: _____

CIMB Investment Bank Berhad (18417-M)

(A Participating Organisation of Bursa Malaysia Securities Berhad)

17th Floor Menara CIMB, Jalan Stesen Sentral 2, Kuala Lumpur Sentral, 50470 Kuala Lumpur, Malaysia.

Telephone (603) 2261 8888 Facsimile (603) 2261 8889 www.cimb.com

Terms and Conditions of CIMB Investment Bank Berhad's Client Referral Campaign ("Terms & Conditions")

Campaign period

1. The Client Referral Campaign ("**Campaign**") is organised by CIMB Investment Bank Berhad ("**CIMB**") and will run from 1st August 2014 to 31st January 2015, both dates inclusive ("**Campaign Period**").

Eligibility

2. Subject to Clause 3 and Clause 4 below, the Campaign is open to all existing and new Trading Account clients of CIMB ("**Eligible Participants**"). For the purpose of this Campaign, new Trading Account clients of CIMB shall mean clients who:-
 - (a) Opened Trading Account with CIMB during the Campaign Period; and
 - (b) Does not maintain any trading account with CIMB prior to this Campaign.
3. New Trading Account clients of CIMB who open the following accounts are not eligible to participate in the Campaign:
 - (a) accounts opened for IPO financing; or
 - (b) accounts opened for ESOS financing; or
 - (c) others as may be determined by CIMB.
4. The following persons including their immediate family members (i.e. spouse, children, parents, brothers and sisters) shall not be eligible to participate in the Campaign:-
 - (a) Consumer Sales and Distribution Sales Force of CIMB; and
 - (b) Retail Equities Sales Force of CIMB.
5. Eligible Participants are required to fill up and complete the Referral Form to participate in this Campaign. The Referral Form must be confirmed and received by CIMB no later than 31st January 2015.

Campaign Mechanics

6. During the Campaign Period, every Eligible Participant who successfully refer ("**Referrer**") a new client to open Trading Account with CIMB and whose new client meets the criteria set out in Clause 7 ("**Referee**") will be rewarded with RM10 for each such Referee. Upon successful opening of new Trading Account, each Referee will be entitled for reimbursement of brokerage fee incurred for his/her first online trade in the securities listed on Bursa Malaysia, Singapore Stock Exchange, Hong Kong Stock Exchange or U.S. Stock Exchange.
7. To be a Referee, he/she must also:
 - (a) have signed up to receive contract statements via email ("**E-Contract**");
 - (b) requested for payment of sales proceeds via Auto Trust (i.e. sales proceeds are credited directly into client's trust account maintained with CIMB) or EDI (i.e. sales proceeds are credited directly into client's personal current account or savings account with CIMB Bank Berhad / CIMB Islamic Bank Berhad; and
 - (c) not have maintained any Trading Account with CIMB prior to this Campaign.
8. Subject to Clause 6 and 7, Referrers who have met the Qualifying Criteria in Clause 10 below ("**Qualified Referrers**") within the Campaign Period will be rewarded with a return air ticket to the designated destination under different tiers (Clause 10(a), 10(b) or 10(c)) set out in the Campaign.

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9. Qualified Referrers are allowed to claim more than one (1) return air ticket by compounding the number of Referees who each traded at least once with CIMB under the Qualifying Criteria in Clause 10 below. However, they are not allowed to mix and match the destination among the different tiers.
10. Qualified Referrers who have accumulated the number of Referees who each traded at least once with CIMB as set out below shall meet the Qualifying Criteria:-
 - (a) **40 Referees who each traded at least once with CIMB** will be entitled one (1) return air ticket to **Bangkok or Bandung**; or
 - (b) **60 Referees who each traded at least once with CIMB** will be entitled one (1) return air ticket to **Bali or Phuket**; or
 - (c) **80 Referees who each traded at least once with CIMB** will be entitled one (1) return air ticket to **Hong Kong or Taiwan**.

A Referee's first online trade during the Campaign Period does not constitute as an eligible trade for the purposes of meeting the Qualifying Criteria in Clause 10 above and any other campaigns which are run by CIMB.

11. A Referrer must first obtain the consent of the Referee to disclose particulars of the Referee namely, the Referee's name and contact number, to CIMB for the purposes of this Campaign. In this respect, the Referrer consents to CIMB disclosing the Referrer's name to the Referee if the Referee requests for the Referrer's identity.
12. Notwithstanding that a Referee has opened more than one (1) Trading Account with CIMB, the Referrer is entitled to a reward of RM10 only for each such Referee.
13. The reward of RM10 and the reimbursement of brokerage fee will be credited into the Referrer's and Referee's Trust Account respectively on one of the following months:-
 - (a) October 2014;
 - (b) December 2014; or
 - (c) February 2015.

Issuance of Air Ticket

14. All Qualified Referrers must make the booking of flight(s) from 1 March 2015 to 30 April 2015; travel period starts from 1 July 2015 to 30 September 2015.
15. The designated airport for this campaign are:-
 - (a) Kuala Lumpur International Airport (KLIA) 2, Sepang, Selangor (for West Malaysia); and
 - (b) Terminal 2, Kota Kinabalu International Airport, Sabah (for East Malaysia).
16. Booking must be made at the appointed travel agencies as specified in the notification letter by CIMB.
17. CIMB will only pay for return air ticket & airport tax. Qualified Referrers shall be responsible for all other incidental costs whatsoever incurred as charged by the travel agency and the airline.
18. Any entitlement of air ticket(s) is fully transferable. However, CIMB will not entertain any complaints/disputes from any third party or the Qualified Referrer himself/herself transferring his/her entitlement to a third party.
19. The release or transfer of a Qualified Referrer's entitlement to any air ticket(s) releases and discharges CIMB from any further responsibility or liability to award the Qualified Referrers under this Campaign.
20. Tickets are subject to seats availability and cannot be exchanged for cash or other services. Seats may not be available during peak period, public holidays and school holidays.

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21. CIMB bears no responsibility for:-
- (a) any cancellations or delay of flights; and
 - (b) additional cost incurred for booking of flight(s) to travel during the peak period, public holidays and school holidays.
22. Issuance of the airline ticket is subject to the terms and conditions of the air carrier.

Notification

23. It shall be each Qualified Referrer's responsibility to ensure that their phone numbers and correspondence addresses provided to CIMB are current and updated in CIMB's records as the Qualified Referrer will be notified by CIMB via official letter. Original official letter issued by CIMB must be presented upon booking air ticket with travel agency.

General

24. By participating in the Campaign, all Eligible Participants are deemed to have read, understood and have agreed to be bound by these Terms & Conditions. All decisions of CIMB in relation to every aspect of the Campaign (including the cash rewards and air tickets to be given away) and the determination of Qualified Referrers are binding and conclusive. No correspondence, queries, appeals or protests will be entertained whatsoever.
25. For the booking of the air ticket(s) and verification purposes by CIMB's appointed agencies, the Qualified Referrer has agreed and authorized CIMB to disclose his/her particulars to the appointed agencies.
26. In no event will CIMB be liable for any loss or damages (including without limitation, loss of income, profits or goodwill, direct or indirect, incidental, consequential, exemplary, punitive or special damages or to any party including third parties) howsoever arising whether in contract, tort, negligence or otherwise, in connection with the Campaign, even if CIMB has been advised of the possibility of such damages in advance, and all such damages are expressly excluded.
27. These Terms and Conditions shall prevail over any provisions or representations contained in any other promotional materials advertising this Campaign in the event of any conflict in terms.
28. CIMB reserves the right to cancel, terminate or suspend the Campaign with or without any prior notice. For avoidance of doubt, cancellation, termination or suspension of the Campaign shall not entitle any of the Eligible Participants to any claim for compensation against CIMB or any losses or damages suffered or incurred by the Eligible Participants as a direct or indirect result of the act of cancellation, termination or suspension.
29. CIMB reserves the right, at its absolute discretion, to vary (whether by addition, deletion, modification, amendment or otherwise howsoever) (the "**Amendment**") any of the Terms and Conditions herein at any time. The Amendment shall be effected at CIMB's absolute discretion through any one of the following means of communication, namely, by ordinary mail to the Eligible Participants' last known address or via CIMB's website and the Amendment shall be binding on the Eligible Participants as from the date of notification of the Amendment or from such other date as may be specified by CIMB.
30. All other terms and conditions applicable to general trading under i*Trade@CIMB shall continue to apply. Nothing herein shall affect CIMB's general terms and conditions to which the clients have agreed to in respect of their trading accounts held at CIMB or CIMB Bank Berhad.
31. The Terms and Conditions of this Campaign as set out above shall be governed by the laws of Malaysia.

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