

Share Financing Application Form Individual



TYPE OF FACILITY

- Share Margin Financing
 ESOS Financing
 REITs Financing

- CIMB Bank Berhad (13491-P) CIMB Islamic Bank Berhad (671380-H)
 Share Purchase Financing-i
 IPO Financing
 Others _____

1 APPLICANT'S PERSONAL DETAILS

Salutation

Mr Madam Miss Datuk Dato' Datin Others

Name (as per NRIC/Passport)

New NRIC/Passport number

Old NRIC number

Nationality

Malaysian Others

Registered in Sabah/Sarawak

Yes No

Date of birth (dd/mm/yyyy)

/ /

Bumiputra

Yes No

Race

Malay Chinese Indian Others

Gender

Male Female

Marital status

Single Married Others

Address (as per NRIC/Passport)

Postcode

Town/City

Contact number

Home

Mobile

Office

Fax

Email address

Correspondence address (complete if different from above)

Postcode

Town/City

2 EMPLOYMENT PARTICULARS

Employment status

Employed Self-employed Unemployed

Name of company

Nature of business

Registration number (if self-employed)

Years in employment/business

Years Months

Designation

Business address

Postcode

Town/City

Contact number

Fax

3 FINANCIAL PARTICULARS (Information to Assist in Determination of Credit/Facility Limit)

Gross annual income

Other income (annual)

Estimated assets (stocks and shares, cash and deposits, property, etc)

Types of assets (Please tick where applicable)

Cash <input type="checkbox"/>	Car <input type="checkbox"/>	Shares <input type="checkbox"/>	Unit Trust <input type="checkbox"/>	Properties <input type="checkbox"/>	Others <input type="checkbox"/>
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Source of wealth/funds (Please tick where applicable)

Savings <input type="checkbox"/>	Salary <input type="checkbox"/>	Directorship <input type="checkbox"/>	Rental income <input type="checkbox"/>
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Investment income <input type="checkbox"/>	Inherited wealth <input type="checkbox"/>	Business income <input type="checkbox"/>	Others <input type="checkbox"/>
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4 ACCOUNT RELATIONSHIP WITH CIMB GROUP

CIMB CIMB Bank CIMB Securities CIMB Futures

CIMB Labuan CIMB Islamic Others _____

Type of account

Account number

5 POLITICAL AFFILIATION

Do you hold, or have you previously held, or are you actively seeking a position or being considered for a prominent public position?

Yes No

If yes, please elaborate

Position held

Number of years

Does any of your immediate family member(s) hold or has previously held or is actively seeking a position or is being considered for a prominent public position?

Yes No

If yes, please elaborate

Name of immediate family member

Relationship

Position held

Number of years

6 DETAILS OF SPOUSE/NEXT OF KIN

Name (as per NRIC/Passport)

Relationship

New NRIC/Passport number

Old NRIC number

Contact number

Home

Mobile

Does your spouse/next of kin have any account relationship with CIMB Group?

Yes No

If yes, please state type of account

Account number

7 CREDIT LINE/FACILITY LIMIT AND COLLATERAL

Total credit line/facility limit applied

RM

Collateral offered

Securities	Quantity

Securities	Quantity

Cash/Conventional Fixed Deposit/General Investment Account-i/Fixed Return Investment Account-i

RM

Others, please specify _____

RM **8 DECLARATION BY APPLICANT**

In connection with my/our application for the Facility, I/we further confirm and declare the following:

- a) I/We declare that I/we have/do not have outstanding debt payment obligation from banks and non-banks (e.g. cooperatives, building societies, credit companies and merchants that provide credit sales).

Particulars of the main applicant's outstanding debt payment obligation are as follows (no declaration from the main applicant denotes that this declaration is not applicable):

No.	Name of Entity	Amount of Outstanding Debt (RM)	Monthly Instalment Amount (RM)
1			
2			
3			
4			
5			

Particulars of the co-applicant's (if applicable) outstanding debt payment obligation are as follows (no declaration from the co-applicant denotes that this declaration is not applicable):

No.	Name of Entity	Amount of Outstanding Debt (RM)	Monthly Instalment Amount (RM)
1			
2			
3			
4			
5			

- b) I/We declare that the information furnished in this form is completely true and accurate and I/we have not withheld any information which may prejudice my/our financing/loan application or have a bearing on your financing decision.
- c) I/We hereby authorise you or your representative to obtain information relating to this application from any source.
- d) You shall reserve the right to reject my/our financing/loan application at your sole discretion without any reason whatsoever.
- e) I/We am/are not a bankrupt and have not been adjudicated or declared a bankrupt and have not committed an act of bankruptcy and have no knowledge of any bankruptcy petition presented against me/us in any court of competent jurisdiction and I/we have not been listed as a defaulter by Bursa Malaysia Securities Berhad.
- f) I/We shall comply with the Bank's requirements in respect of my/our financing/loan application and understand that the Bank's offer of the financing/loan shall be subject to the Bank performing any necessary verification. The Bank refers to CIMB Bank Berhad or CIMB Islamic Bank Berhad (as the case may be), being the licensed financial institution offering the financing/loan product(s) referred to in this application form.
- g) I/We confirm that the Bank is authorised to verify and/or make any checks and/or obtain any information and/or confirmation, with or from any credit reference agencies, including but not limited to CCRIS, FIS and/or any other agencies and/or from any financial institution, on me/us and/or any other person, individual and/or entity as the Bank may deem fit, for any purposes which the Bank deems fit.
- h) Subject to the provisions below which (i) prohibit disclosure of information to Group Companies if objected to by me/us; and (ii) require my/our express consent for disclosure of information to third parties for the stated purposes, I/we hereby agree and authorise the Bank to disclose to any of its agents, service providers, auditors, legal counsel, professional advisors, security providers and guarantors in or outside Malaysia and to companies within the group of the Bank as well as companies within the group of CIMB Group Holdings Berhad, the Bank's ultimate holding company ("the Group Companies") whether such Group Companies are residing, situated, carrying on business, incorporated or constituted within or outside Malaysia any information relating to me/us, my/our affairs and/or any accounts maintained by me/us with the Bank for facilitating the business, operations, facilities and services of or granted or provided by the Bank and/or the Group Companies to their customers.

Disclosure to Group Companies shall be for facilitating the operations, businesses, cross-selling and other purposes of the Bank and/or the Group Companies provided always that disclosure for cross selling purposes shall not be effected if such disclosure is objected by me/us by contacting the Bank at the following telephone number or address (which may be changed by the Bank from time to time by notice to me/us): 19th Floor, Menara Bumiputra Commerce, 11 Jalan Raja Laut, 50350 Kuala Lumpur, Tel No.: 1300-880-900.

Further, where the Bank intends to share my/our information (excluding information relating to my/our affairs or account) with third parties for strategic alliances, marketing and promotional purposes,

I/we hereby give my/our consent to the above disclosure to third parties.

I/we do not consent to the giving of the above disclosure to third parties.

i) In connection with Bank Negara Guidelines on Credit Transactions and Exposures With Connected Parties, I/we hereby declare that (no declaration from the applicant(s) denotes that this declaration is not applicable):

I/We am/are a staff of the CIMB Group¹

To the best of my/our knowledge, I/we have close relative(s)² employed under the CIMB Group or has acted as my/our guarantor

Particulars of my/our close relative(s) in CIMB Group:

Full Name	NRIC No.	Relationship	Acted as Guarantor	
			Yes <input type="checkbox"/>	No <input type="checkbox"/>
			Yes <input type="checkbox"/>	No <input type="checkbox"/>
			Yes <input type="checkbox"/>	No <input type="checkbox"/>
			Yes <input type="checkbox"/>	No <input type="checkbox"/>
			Yes <input type="checkbox"/>	No <input type="checkbox"/>
			Yes <input type="checkbox"/>	No <input type="checkbox"/>

¹CIMB Group means CIMB Bank Berhad or CIMB Islamic Bank Berhad or CIMB Investment Bank Berhad or other subsidiaries or companies controlled by the aforesaid respective banking institutions.

²Close relative(s) include parent/spouse of staff including the spouse's dependants/children/spouse of the children/brother and sister/spouse of brother/sister and any other dependants and persons who may influence/be influenced by the staff.

j) I/We enclose herewith the following documents which I/we hereby certify to be a true copy of the original:

1 copy of NRIC/Passport (both sides) 1 copy of the latest EA Form or 1 month Payslip or Income Statements 1 copy of Business Registration or Form 24 & 49

Others _____

k) (Applicable to foreigners only) – My/Our borrowings/financing from all sources within Malaysia, inclusive of this facility (excluding facilities for financing/refinancing for the purchase or construction of immovable properties and the purchase of land) do not exceed in aggregate of RM10 million.

9 VOLUNTARY DECLARATION/DISCLOSURE BY APPLICANT

(i) I/We declare that I/we have/do not have contingent liabilities with any other party(ies). Where I/we have contingent liabilities, particulars of my/our contingent liabilities are as follows:

No.	Name of Entity	Maximum Amount of Contingent Liabilities (RM) (where ascertainable)
1		
2		
3		

I/We require the Letter of Offer in: Bahasa Malaysia English

Signature of Applicant

X

Date

/ /

Witnessed by Dealer's Representative/Authorised Officer of CIMB Group

X

Name

Date

/ /

BANK USE ONLY

Client's Risk Profile (Please tick one only)

High Low