

Share Financing Application Form

Individual



TYPE OF FACILITY

- Share Margin Financing
 ESOS Financing
 REITs Financing

- CIMB Bank Berhad (13491-P) CIMB Islamic Bank Berhad (671380-H)
 Share Purchase Financing-i
 IPO Financing
 Others _____

1 APPLICANT'S PERSONAL DETAILS

Salutation*

| | | | | | | |
|-----------------------------|--------------------------------|-------------------------------|--------------------------------|--------------------------------|--------------------------------|---------------------------------|
| Mr <input type="checkbox"/> | Madam <input type="checkbox"/> | Miss <input type="checkbox"/> | Datuk <input type="checkbox"/> | Dato' <input type="checkbox"/> | Datin <input type="checkbox"/> | Others <input type="checkbox"/> |
|-----------------------------|--------------------------------|-------------------------------|--------------------------------|--------------------------------|--------------------------------|---------------------------------|

Name (as per NRIC/Passport)

New NRIC/Passport number

Old NRIC number

Passport expiry date (dd/mm/yyyy)

Date of birth (dd/mm/yyyy)

Nationality

| | |
|------------------------------------|---------------------------------|
| Malaysian <input type="checkbox"/> | Others <input type="checkbox"/> |
|------------------------------------|---------------------------------|

Registered in Sabah/Sarawak

| | |
|------------------------------|-----------------------------|
| Yes <input type="checkbox"/> | No <input type="checkbox"/> |
|------------------------------|-----------------------------|

Tax ID if nationality is U.S¹ or U.S. Territory²

Residential Status

| | |
|-----------------------------------|---------------------------------------|
| Resident <input type="checkbox"/> | Non-Resident <input type="checkbox"/> |
|-----------------------------------|---------------------------------------|

¹A U.S. Resident (including a current work permit); or a U.S. Citizen or a Citizen of a US Territory²; or hold a U.S. Permanent Resident Card (Green Card).

²American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, the Commonwealth of Puerto Rico, or the U.S. Virgin Islands.

Bumiputra

| | |
|------------------------------|-----------------------------|
| Yes <input type="checkbox"/> | No <input type="checkbox"/> |
|------------------------------|-----------------------------|

Race

| | | | |
|--------------------------------|----------------------------------|---------------------------------|---------------------------------|
| Malay <input type="checkbox"/> | Chinese <input type="checkbox"/> | Indian <input type="checkbox"/> | Others <input type="checkbox"/> |
|--------------------------------|----------------------------------|---------------------------------|---------------------------------|

Gender

| | |
|-------------------------------|---------------------------------|
| Male <input type="checkbox"/> | Female <input type="checkbox"/> |
|-------------------------------|---------------------------------|

Marital status*

| | | |
|---------------------------------|----------------------------------|---------------------------------|
| Single <input type="checkbox"/> | Married <input type="checkbox"/> | Others <input type="checkbox"/> |
|---------------------------------|----------------------------------|---------------------------------|

Residential Address

| | | |
|----------------------|-----------|---------|
| <input type="text"/> | | |
| <input type="text"/> | | |
| Postcode | Town/City | Country |

Contact number

| | | | |
|---------------------------|-----------------------------|-----------------------------|--------------------------|
| Home <input type="text"/> | Mobile <input type="text"/> | Office <input type="text"/> | Fax <input type="text"/> |
|---------------------------|-----------------------------|-----------------------------|--------------------------|

Email address

Correspondence address (complete if different from above)

| | | |
|----------------------|-----------|---------|
| <input type="text"/> | | |
| <input type="text"/> | | |
| Postcode | Town/City | Country |

2 EMPLOYMENT PARTICULARS

Employment status

| | | |
|-----------------------------------|--|-------------------------------------|
| Employed <input type="checkbox"/> | Self-employed <input type="checkbox"/> | Unemployed <input type="checkbox"/> |
|-----------------------------------|--|-------------------------------------|

Name of company

Nature of business

Registration number (if self-employed)

Country of incorporation

Tax ID if country of incorporation is U.S¹ or U.S. Territory²

Years in employment/business

| | |
|----------------------------|-----------------------------|
| Years <input type="text"/> | Months <input type="text"/> |
|----------------------------|-----------------------------|

Designation

¹A U.S. Resident (including a current work permit); or a U.S. Citizen or a Citizen of a US Territory²; or hold a U.S. Permanent Resident Card (Green Card).

²American Samoa, the Commonwealth of the Northern Mariana Islands, Guam, the Commonwealth of Puerto Rico, or the U.S. Virgin Islands.

Business address

| | | |
|----------|-----------|---------|
| | | |
| | | |
| Postcode | Town/City | Country |

Contact number*

Fax*

3 DETAILS OF SPOUSE/NEXT OF KIN

Name (as per NRIC/Passport)

Relationship

New NRIC/Passport number*

Old NRIC number*

Passport expiry date (dd/mm/yyyy)

Contact number*

Home

Mobile

4 CREDIT LINE/FACILITY LIMIT AND COLLATERAL

Total credit line/facility limit applied RM

Collateral offered

| Securities | Quantity |
|------------|----------|
| | |
| | |
| | |
| | |

| Securities | Quantity |
|------------|----------|
| | |
| | |
| | |
| | |

Cash/Conventional Fixed Deposit/Fixed Return Income Account-i

RM

Others, please specify

RM

5 DECLARATION BY APPLICANT

In connection with my application for the Facility, I further confirm and declare the following:

- a) I declare that I have/do not have outstanding debt payment obligation from banks and non-banks (e.g. cooperatives, building societies, credit companies and merchants that provide credit sales).

Particulars of the main applicant's outstanding debt payment obligation are as follows (no declaration from the main applicant denotes that this declaration is not applicable):

| No. | Name of Entity | Amount of Outstanding Debt (RM) | Monthly Instalment Amount (RM) |
|-----|----------------|---------------------------------|--------------------------------|
| 1 | | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |

- b) I declare that the information furnished in this form is completely true and accurate and I have not withheld any information which may prejudice my financing/loan application or have a bearing on your financing decision.

Subject to the applicable local laws, I hereby consent for the Bank, the Group Companies and or any of their licensed financial institution affiliates (including branches) (collectively as "CIMB") to share my information with domestic or overseas governmental, supervisory or regulatory authorities where necessary to establish my tax liability in any relevant jurisdiction.

Where required by domestic or overseas governmental, supervisory or regulatory authorities, I also understand and agree that CIMB may be required to obtain additional documents and/or forms, which I will sign, if I am subject to the relevant jurisdiction's requirements.

Where required by domestic or overseas governmental, supervisory or regulatory authorities, I understand and agree that CIMB may withhold, and pay out, from any of my account(s) such amounts as may be required according to applicable laws, regulations, directives, guidelines and/or agreements with and/or from domestic or overseas governmental, supervisory or regulatory authorities. I also agree and undertake to notify CIMB (within 30 calendar days), or provide the information if requested by CIMB, if there is a change in any information which I have provided to CIMB.

- c) I hereby authorise you or your representative to obtain information relating to this application from any source.
- d) You shall reserve the right to reject my financing/loan application at your sole discretion without any reason whatsoever.
- e) I am not a bankrupt and have not been adjudicated or declared a bankrupt and have not committed an act of bankruptcy and have no knowledge of any bankruptcy petition presented against me in any court of competent jurisdiction and I have not been listed as a defaulter by Bursa Malaysia Securities Berhad.
- f) I shall comply with the Bank's requirements in respect of my financing/loan application and understand that the Bank's offer of the financing/loan shall be subject to the Bank performing any necessary verification. The Bank refers to CIMB Islamic Bank Berhad or CIMB Bank Berhad (as the case may be), being the licensed financial institution offering the financing/loan product(s) referred to in this application form.
- g) I confirm that the Bank is authorised to verify and/or make any checks and/or obtain any information and/or confirmation at any time and from time to time now and/or in the future, with or from any credit reference/reporting agencies, including but not limited to CCRIS, FIS and/or any other agencies and/or from any financial institution and to provide to such aforesaid party(s) with the required information requested to enable the Bank to ascertain my status and/or any other person, individual and/or entity related to and/or associated with me as may be required by the Bank for the purpose of the consideration of this application and thereafter if this application is approved for the purposes of the grant and/or continued maintenance of the facility/account; and/or recovery of debts owing under the facility/account; and/or any purpose related to or in connection with the facility/account applied for; and/or for any other purpose that is required or permitted by any law, regulations, guidelines and/or relevant regulatory authorities.
- h) Subject to the provisions below which (i) prohibit disclosure of information to Group Companies if objected to by me; and (ii) require my express consent for disclosure of information to third parties for the stated purposes, I hereby agree and authorise the Bank to disclose to any of its agents, service providers, auditors, legal counsel, professional advisors, security providers and guarantors in or outside Malaysia and to companies within the group of the Bank as well as companies within the group of CIMB Group Holdings Berhad, the Bank's ultimate holding company ("the Group Companies") whether such Group Companies are residing, situated, carrying on business, incorporated or constituted within or outside Malaysia any information relating to me, my affairs and/or any accounts maintained by me with the Bank for facilitating the business, operations, facilities and services of or granted or provided by the Bank and/or the Group Companies to their customers.

Disclosure to Group Companies shall be for facilitating the operations, businesses, cross-selling and other purposes of the Bank and/or the Group Companies provided always that **disclosure for cross selling purpose shall not be effected if such disclosure is objected by me** by contacting the Bank at the following telephone number or address (which may be changed by the Bank from time to time by notice to me): P.O. Box 10338, GPO Kuala Lumpur, 50710 Wilayah Persekutuan, Tel. No.: 03-6204 7788.

Further, where the Bank intends to share my information (excluding information relating to my affairs or account) with third parties for strategic alliances, marketing and promotional purposes,

- I hereby give my consent to the above disclosure to third parties.
- I do not consent to the giving of the above disclosure to third parties.

i) In connection with Bank Negara Guidelines on Credit Transactions and Exposures With Connected Parties, I hereby declare that (no declaration from the applicant denotes that this declaration is not applicable):

- I am a staff of the CIMB Group¹
- To the best of my knowledge, I have close relative(s)² employed under the CIMB Group or has acted as my guarantor

Particulars of my close relative(s) in CIMB Group:

| Full Name | NRIC No. | Relationship | Acted as Guarantor | |
|-----------|----------|--------------|------------------------------|-----------------------------|
| | | | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| | | | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| | | | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| | | | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

¹CIMB Group means CIMB Bank Berhad or CIMB Islamic Bank Berhad or CIMB Investment Bank Berhad or other subsidiaries or companies controlled by the aforesaid respective banking institutions.

²Close relative(s) include parent/spouse of staff including the spouse's dependants/children/spouse of the children/brother and sister/spouse of brother/sister and any other dependants and persons who may influence/be influenced by the staff.

j) I enclose herewith the following documents which I hereby certify to be a true copy of the original:

- 1 copy of NRIC/Passport (both sides)
 1 copy of the latest EA Form or 1 month Payslip or Income Statements
 1 copy of Business Registration

Duly completed US IRS form, when applicable as requested by the bank

Note: To use the latest form, download from US IRS website

(<http://www.irs.gov/Businesses/Corporations/FATCA-Current-Alerts-and-Other-News>) >> Forms and Publications (PDF) section for the form and instructions.

W-8 forms. (<http://apps.irs.gov/app/picklist/list/formsPublications.html;jsessionid=HWBdbXB4i5kwMZvndb6Fog?value=w-8&criteria=formNumber&submitSearch=Find>),

W-9 form (<http://apps.irs.gov/app/picklist/list/formsPublications.html;jsessionid=55K-+0Vl1PmJE5z-FUjoNw?value=w-9&criteria=formNumber&submitSearch=Find>)

Others _____

k) (Applicable to foreigners only) – My borrowings/financing from all sources within Malaysia, inclusive of this facility (excluding facilities for financing/refinancing for the purchase or construction of immovable properties and the purchase of land) do not exceed in aggregate of RM10 million.

l) I hereby acknowledge that I have accessed and/or read the Privacy Notice issued by CIMB Group (which is available at all CIMB branches as well as at the Bank website at www.cimbbank.com.my or www.cimbislamic.com, or has otherwise been made available to me) and confirm my agreement to the same.

6 VOLUNTARY DECLARATION/DISCLOSURE BY APPLICANT

(i) I declare that I have/do not have contingent liabilities with any other party(ies). Where I have contingent liabilities, particulars of my contingent liabilities are as follows:

| No. | Name of Entity | Maximum Amount of Contingent Liabilities (RM) (where ascertainable) |
|-----|----------------|---|
| 1 | | |
| 2 | | |
| 3 | | |

I require the Letter of Offer in: Bahasa Malaysia English

Signature of Applicant

Date

/ /

Witnessed by Dealer’s Representative/Authorised Officer of CIMB Group

Name

Date

/ /

BANK USE ONLY

Salesperson Name Salesperson ID Sales Team/Branch Code

Referrer Name Referrer ID

Retail Equities Specialist (RES) Name RES Staff ID RES Branch Code RES Dealer Code

CDT Dealer Code