

Prohibited Transaction – No Change in Beneficial Owner (“NCBO”) Trades

A person or persons associated with each other who conducts NCBO trades (i.e. same person has an interest before and after the transaction), whether between accounts held within a stockbroking company or between accounts held in different stockbroking companies, commits an offence and shall be punished on conviction to imprisonment for a term not exceeding 10 years and to a fine not less than one million ringgit under the Capital Markets and Services Act 2007 (“CMSA 2007”). Examples of persons associated include spouse, any employee or partner of the person, a director or secretary of a body corporate etc.

Should you have any queries, please contact your dealer’s representative.

Yours sincerely,

CIMB Investment Bank Berhad (18417-M)

(A Participating Organisation of Bursa Malaysia Securities Berhad)